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# Grievance Policy and Procedure for all staff (including Managers Guidance).

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Prepared by:	Adopted by Board of Trustees	Signed	Review Date (annual cycle)
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**This policy has been formally agreed with recognised trade unions at the Trusts JCNC, December 2018**

## **Content**

- 1. Purpose**
- 2. Applicability**
- 3. Roles and Responsibilities**
- 4. Informal Resolution**
- 5. Registering a grievance**
- 6. Grievance Hearing**
- 7. Appeals**
- 8. Authority to act under the Grievance Procedure**
- 9. Grievances from Ex- Employees**
- 10. Records**
- 11. Reviews**

## **Appendices**

# **GRIEVANCE PROCEDURE FOR ALL MULTI-ACADEMY TRUST STAFF**

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## **Grievance Procedure**

### **1. Purpose**

The purpose of this procedure is to ensure fair and consistent handling of all our employee grievances. The Aspire Educational Trust recognises the right of every employee to express a grievance and be given a fair hearing.

This procedure has been drawn up to comply with the ACAS Code of Practice on Grievance Procedures. It is intended to provide a system which can enable employee grievances to be resolved fairly, consistently and promptly in order to minimise any conflict or disruption in the workplace. Grievances can occur at all levels and this policy applies equally to management and employees.

### **2. Applicability**

This procedure applies to all employees of the Trust, whether permanent or on a fixed term contract. It does not apply to agency workers, contractors or volunteers. Guidance on dealing with grievances from former employees can be found in section 10.

#### **The Grievance Procedure does not apply when:**

- the employee wishes to complain about an actual or threatened dismissal or other matters under the disciplinary procedure. However, a grievance may be raised under the disciplinary procedure if it is regarding unlawful discrimination or that action under the disciplinary procedure is not genuinely on the grounds of conduct
- the employee raises a concern as a 'protected disclosure' such as whistle blowing in compliance with the Public Interest Disclosure Act 1998 (refer to the Whistleblowing Policy)
- the employee wishes to complain about matters being dealt with under the capability procedure. These will normally be considered as part of the capability process
- the employee wishes to complain about matters related to selection for redundancy which would be dealt with by appeal under the redundancy procedure

This procedure applies to complaints made by a group of employees (collective grievances) about a matter related to their employment. Where there is a collective grievance the case for the employees should be presented by one representative for the group. One representative from each trade union has the right to be in attendance at the hearing.

### **3.0 Roles and responsibilities**

Principals, Managers and Senior Leaders of the Trust (or the person to whom they have delegated responsibility) are responsible for:

- responding promptly and sensitively to complaints or concerns raised by employees
- investigating grievance matters, if required
- attending/chairing formal grievance and/or appeal hearings as required
- ensuring that this policy is applied consistently and that all staff are aware of this policy

**Employees are responsible for:**

- making every reasonable effort to resolve their grievance at the earliest possible opportunity and the lowest level of the procedure
- presenting a clear and detailed complaint, with a suggested resolution
- co-operating with the management of the Trust and its academies so that complaints or concerns may be resolved

**Human Resources are responsible for:**

- providing advice and guidance to all parties on grievance procedures
- advising on the management of individual cases
- updating this policy as appropriate to ensure compliance with legislation in consultation with recognised trade unions

#### **4.0 Principles**

Grievances are concerns, problems or complaints regarding their employment that employees wish to raise with their employer. This includes complaints against the employer, and matters arising between colleagues, including complaints of bullying and harassment (See Appendix 1 for guidance on bullying and harassment).

Employees should try to resolve grievances informally wherever possible at the lowest level of concern. However, where an employee states in writing his/her wish to raise a formal grievance, this procedure must be followed.

A grievance must be raised by the employee personally. A grievance cannot be raised on behalf of someone else. Where an employee witnesses inappropriate behaviour of any kind in the workplace it must be reported to the appropriate Manager.

An aggrieved employee should submit their grievance as soon as is practical and at the minimum within 3 calendar months of the last occurrence.

An employee raising a grievance has the right to be accompanied or represented by either a trade union representative or a work colleague at meetings held at any formal stage of this procedure. The companion should be allowed to address the meeting in order to:

- put forward the employee's case
- sum up the employee's case
- respond on the employee's behalf to any view expressed at the hearing
- confer with the employee during the meeting

The companion does not, however, have the right to answer questions on the employee's behalf, address the hearing if the employee does not wish it, or prevent the employer from explaining their case.

Written records will be kept during the process and treated as confidential. Formal minutes will be given to the employee if taken (see section 11).

The timescales within this procedure may be changed by mutual agreement.

In the event that the Trust determines, in the course of following this Grievance Procedure that there has been possible misconduct on the part of one or more employees it may be necessary to investigate these concerns separately under the Disciplinary Procedure.

Confidentiality should be maintained during all stages throughout the Grievance Procedure. Only those people who need to know through the course of their duties should have access to the details of the grievance.

A postponement may be granted if the employee or their representative is unable to attend meetings on the proposed dates. A postponement should not be for more than 5 working days after the original date proposed (subject to management availability) however, an extension to this time limit can be made by mutual agreement.

Employees may, at any time, withdraw their grievance by confirming this in writing. Management may, at their discretion, continue to investigate the matter and take appropriate action on the outcomes.

Raising a complaint at work can be a very difficult time both for the employees involved and their colleagues, whether it is tackled informally or formally. The Trust is committed to supporting all members of staff and will ensure that additional support is provided if requested.

## **5. Informal resolution**

It is expected that, wherever possible, employees will have attempted to address grievances informally before pursuing the formal procedure. This is because referral to the formal procedure can extend the process longer than necessary and increase feelings of conflict and anxiety for all parties.

It is expected that the employee will raise concerns at an early stage as part of normal management processes. If the complaint is about their line manager, it is expected that the employee will raise the matter with a more senior manager.

Informal resolution may involve a number of different avenues; some examples are given at Appendix two.

## **6. Registering a Grievance**

Where an employee's grievance has not been resolved informally or the matter is of a very serious nature they should put the grievance into writing and submit to their Manager. A suggested format for registering a grievance is available at Appendix Three.

If the grievance is against, or being raised by, a Manager / Principal and informal procedures have failed to resolve the situation, it should be passed directly to the appropriate Trust Hub Leader who will assume responsibility for ensuring the procedure is adhered to, and may nominate an appropriate person to respond on behalf of the Trust. All other rights and procedures as stated in this document apply.

The written grievance should make clear the nature and extent of the grievance as well as the resolution sought by the employee.

On receipt, the Manager/Principal or Trust Hub Leader may, in consultation with the employee and/or trade union representative, refer it back to the informal stage to try and resolve it informally if this has not been explored. Alternatively, they may progress to hearing the formal grievance themselves, or they may nominate a manager to hear the grievance.

There may be occasions where an employee's grievance would be better addressed through another procedure such as capability, disciplinary or ill health. It is not necessary to obtain the employee's consent to refer the subject of their grievance to another process, but the employee must be informed and the situation explained.

The Manager/Principal or Trust Hub Leader (or their representative) should write to the employee within 5 working days of receiving their Formal Grievance to confirm receipt. See Appendix four for standard letter.

## **7. The Grievance Hearing**

The manager identified will arrange a grievance hearing to discuss the matter with the aggrieved employee.

The hearing should take place no later than 20 working days after receipt of the written grievance, and the employee will be given a minimum of 5 working days to prepare. The employee will be informed of their right to be accompanied by either a trade union representative or a work colleague. HR may attend to provide procedural advice.

The employee should be given the opportunity to state their grievance and explain the resolution sought. Where appropriate the manager may adjourn the hearing in order for further investigation of the matter to be carried out. Investigations should be carried out expediently, and the meeting reconvened as soon as possible.

The manager may appoint an investigating officer to carry out the investigations on his/her behalf, or may carry out the investigation themselves. If an investigating officer is appointed, this should be by mutual consent, and the complainant should be advised in writing. The investigating officer may be another manager, a member of the HR team, or an external person if appropriate in the circumstances of the case. In all events, the person should be independent to the complaint, and will be bound by the requirements of confidentiality set out in this procedure.

An investigation may involve meeting and interviewing employees or other witnesses, viewing of records and CCTV etc. Ordinarily the manager / investigating officer will compile a report containing a written summary of their findings and details of any witnesses interviewed. The report will be used as a basis for the discussion with the individual at the reconvened hearing. To avoid confusion, the manager should ensure that all parties are clear when a meeting is an investigatory meeting and when it is a hearing.

The manager will conclude the hearing with one of the following options:

- agree with the resolution proposed by the employee
- decide on an alternative resolution
- dismiss the grievance.

Where there is evidence that the employee's grievance amounts to a serious allegation of bullying / harassment / discrimination or some other conduct matter being committed by someone other than the complainant, the manager would normally refer the matter to be managed under the Disciplinary Procedure. Where this occurs another manager, who has had no dealing with the initial grievance, should take on the disciplinary investigation. A copy of any relevant evidence gathered during the grievance investigation should be passed over to the manager investigating the disciplinary.

The manager will respond to the employee's grievance in writing, within 5 working days of the hearing, or the reconvened hearing where there has been an adjournment, to advise the employee of the outcome. The employee will be informed of their right to appeal.

Where it is proven that the allegations were vexatious / malicious (i.e. allegation without grounds and which has been raised with negative intent towards the alleged perpetrator), a disciplinary investigation will be considered. Note, this is different to a genuine complaint not being upheld.

## **8. Appeal**

Where the employee is dissatisfied with the outcome of the grievance hearing they may appeal.

The appeal must be made in writing, addressed to the Chair of Local Governors / Trust Hub Leader / CEO / Chair of the Trust Board, stating the grounds for the appeal, within 5 working days of being advised in writing of the outcome of their grievance. In submitting the written appeal, the employee should explain why they remain aggrieved and how they believe the grievance can be resolved.

The appeal will be heard by a panel of three people who have not previously been involved in the grievance (see section 9).

The appeal will be held as soon as possible and normally no later than 20 working days after receipt of the appeal letter. The chair of the appeal hearing will notify the employee of the date and time of the hearing and their right to be accompanied by either a trade union representative or work colleague.

At the appeal hearing the employee will be able to explain further why they remain aggrieved and how they believe the grievance could be resolved. The manager from the grievance hearing will normally attend to explain their decision and any investigation that was undertaken. HR may attend to provide procedural advice.

Where appropriate the appeal hearing may be adjourned for further investigation.

The employee will be informed of the appeal decision in writing, normally within 5 working days of the appeal hearing or the reconvened appeal hearing where there has been an adjournment. The decision of the appeal hearing is final.

### 9. Authority to act under the Grievance Procedure.

Grievance raised by	Grievance Hearing	Appeal Hearing
All academy based employees	Principal or nominated manager  If complaint is against the principal : Trust Hub Leader or nominated person by Trust board or CEO	Panel of three including one person from the Trust board
Principal	Trust Hub Leader or CEO	Panel of three drawn from LAC/Senior Managers/Hub Leaders and/or the Trust board
All Central Trust Team except Hub Leaders	Trust Hub Leader/CEO (or nominated manager)	Panel of three drawn from the Senior leaders of the Trust including one Trust Board Member
Trust Hub Leader	CEO and/or Chair of the Trust Board	Panel of three Trust Board
CEO	Chair of the Trust Board and /or Trust Member	Panel of three from the Trust Board and Members

\*Note: HR will support throughout and may bring external consultant if required.

## **10. Grievances from ex-employees**

There is no legal requirement to deal with grievances raised by ex-employees. However, Managers may want to consider dealing with such grievances in order to identify possible employment tribunal complaints, and where appropriate try to prevent them from becoming tribunal complaints. These will be managed on a case by case basis. Advice **must** be sought from Human Resources.

## **11. Records**

Records of meetings and discussions relating to the grievance should be written during, or as soon after the event as possible, to ensure the accuracy of the record.

Records should include:

- the nature of the grievance
- what was decided and actions taken
- the reason for the actions
- whether an appeal was lodged
- the outcome of the appeal
- any subsequent developments.

All records relating to grievances should be stored confidentially and securely on the individual's personal file, and in accordance with the data protection act. No duplicate records should be kept.

Records should be retained for six years after employment ceases, after which time they must be destroyed as confidential waste.

The employee may also wish to keep records of events to support their case.

## **12. Review**

This procedure will be reviewed to respond to any changes in employment legislation, and at least every three years.



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# Grievance Procedure - Managers Guidance.

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## Grievance Guidance

### Appendix 1 - Information on Bullying and Harassment

The Aspire Educational Trust is committed to providing a working environment that ensures all employees are treated with dignity and respect at work; further details are contained in Aspire's Equality, Diversity & Inclusion Policy. Aspire also recognises its duty to provide employees with a safe and healthy working environment. We will make every effort to provide a working environment free from harassment, intimidation and any other form of unacceptable behaviour that is personally offensive.

Bullying and harassment are clearly unacceptable forms of behaviour which can cause inappropriate stress and anxiety for employees. They are also recognised as serious management issues as they can result in low morale, under-performance and absenteeism.

The aim of this procedure is to ensure that the Trust meets its moral and legal responsibilities by providing a supportive and accessible way for all members of staff to raise and resolve problems relating to personal bullying, harassment or victimisation by another member of staff. This applies to all forms of offensive and unfair behaviour, whether or not such behaviour is unlawful, and provides for staff to seek redress through either an informal or formal process as the individual prefers, and in appropriate cases, management will initiate formal disciplinary action.

Harassment as defined in the Equality Act 2010 is "unwanted conduct related to a relevant protected characteristic, which has the purpose or effect of violating an

individual's dignity or creating an intimidating, hostile, degrading, humiliating or offensive environment for that individual". For example:

- unnecessary touching, patting, brushing against another person's body; sexual advances, propositions, suggestive remarks or other gestures
- any images, words or actions which can reasonably be perceived as derogatory towards a person's age, sex, sexual orientation, race, disability, religion, belief or any other status
- inappropriate communications by email or on the internet e.g. on social network sites

Harassment may not be targeted at an individual(s) but could consist of a general culture, such as, for example, the appearance of tolerating the telling of homophobic jokes.

Bullying may be characterised as "offensive, intimidating, malicious or insulting behaviour, an abuse or misuse of power through means that undermine, humiliate, denigrate or injure the recipient". For example:

- making malicious and/or derogatory remarks about a colleague
- unreasonably excluding a colleague from a normal workplace activity e.g., a meeting which they would expect to participate in
- setting objectives with impossible deadlines
- shouting or swearing at or humiliating a colleague

Bullying or harassment may be by an individual against an individual (perhaps by someone in a position of authority such as a manager or supervisor) or involve groups of people. It may be obvious or it may be insidious. Whatever form it takes, it is unwarranted and unwelcome to the individual.

The examples are not intended to be exhaustive and other types of behaviour may clearly constitute bullying or harassment. This procedure is not intended and may not be used to inhibit or limit management in their legitimate role or authority.

Individuals who consider that they are being subjected to bullying or harassment should keep a note of any incident, including the date, time, place, witnesses and details of what happened on each occasion.

Employees are encouraged to seek support from their line or senior manager, trade union representative, Chair of Local Governors.

## **Appendix 2 - Examples of informal resolution**

### **Raising the matter directly**

If the employee decides to raise the matter directly with the person against whom they have a grievance, they must choose an appropriate time and use a non-confrontational manner.

It may be helpful to outline the particular action which is causing concern and try to make clear exactly what it is they find unacceptable. It is important to allow the other party to have the opportunity to respond from their point of view.

The employee may find that shared information with the other person will throw a new light onto the situation and allow both parties to reflect on things differently. It is expected that there will be an agreed method of moving forward at the end of this process.

### **Involve a colleague**

As an alternative to raising the matter directly, the employee could request a colleague, manager or school representative to outline the matter on their behalf by speaking direct to the person involved. After the initial meeting the employee may choose to become more involved at that stage. It is expected that there will be an agreed method of moving forward at the end of this process.

### **Put it in writing**

Where the employee feels unable to raise the matter verbally (directly or via a colleague) they can choose to put their concerns in writing explaining how the particular action is affecting them. Once the employee has taken this step it may be appropriate to arrange for the matter to be taken forward using one of the other informal methods. The other party will also be given an opportunity to respond in an appropriate manner and this may include a written response. It is expected that there will be an agreed method of moving forward at the end of this process.

### **Restorative meeting**

It may be appropriate to ask the Manager/Principal or other suitable individual to arrange for a meeting between the employee and the individual against whom the complaint is raised. This should take place in a location suitable to both parties. It is expected that there will be an agreed method of moving forward at the end of this process.

### **Mediation**

This is a meeting where a trained independent mediator can help both parties raise issues of concern, assist with understanding the issues and help both parties plan a way of working together effectively in the future. This can only happen if both parties agree and if other informal methods haven't been successful.

These are just examples of informal resolution, and another informal course of action might be appropriate in the circumstances.

If either party is unhappy with the particular method, at any stage, they may adopt the use of another alternative method. If informal methods fail to resolve the situation the aggrieved employee may wish to pursue a formal complaint; however, it is recommended that sufficient time is allowed for all parties to reflect on the matter and to try to reach an agreeable way forward.

Do be aware that people often become defensive and relationships can become more strained when going through the grievance process, which can lead to further tensions and additional stress. For these reasons it is recommended that every effort to be made to try and sort things out informally as soon as possible, and for advice and support from workplace representatives to be sought.

### Appendix 3 - Suggested format for registering a formal grievance

Your name, Your job title, Your place of work, Your contact details (e.g. email address / phone number)

Date

To: Manager / Principal / Chair of Local Governors / Trust Hub Leader

I wish to register a grievance under the Trust's Grievance Procedure.

The cause of my grievance is: This should set out clearly the nature and extent of the problem and include any relevant details to explain the issue that you want to resolve. Attach further information if required.

I have taken the following informal steps to resolve the problem or grievance before invoking the formal grievance procedure: Explain what you have done so far to try and resolve the matter, and who has been involved

I believe that my grievance could be resolved in the following way: State what you feel needs to happen to resolve this issue. State what outcome you are hoping to achieve.

My representative is: If you have already got a representative please include their name and contact details; this will help in making arrangements to move the matter forward.

Signed:

## Appendix 4 - Acknowledgement of receipt of formal grievance

NAME ADDRESS

Date

Dear XXXXX

Formal Grievance

I write to confirm receipt of the registration of your formal Grievance dated (INSERT DATE) and I can confirm that your Grievance will be dealt with under the Trust's Grievance Procedure.

A meeting has been arranged to take place on (INSERT DATE) at (INSERT TIME) at (INSERT LOCATION). Please confirm your attendance at this meeting.

You have the right to be accompanied at the meeting by a work colleague or a trade union representative, therefore I would be grateful if you could let me know whether you will be accompanied and if so by whom so that necessary arrangements can be made.

The meeting will be chaired by (INSERT NAME, JOB TITLE) and (INSERT NAME, JOB TITLE) will also attend to take notes of the meeting.

Thank you for raising this matter and I hope that we will be able to reach a satisfactory outcome.

Yours sincerely

NAME  
JOB TITLE

## **Appendix 5 - Order of Grievance Hearing**

The following procedure will apply to grievance hearings, including appeals.

Someone who is not involved in the case should be appointed to take notes on the proceedings and Human Resources may be present throughout the hearing.

1. The employee should be provided with an explanation concerning the purpose of the meeting i.e. to consider as fully as possible the nature and the validity of the grievance and should be provided with an explanation of how the meeting will be conducted.
2. The aggrieved employee or his/her representative shall state their case and how they would like it to be resolved.
3. The aggrieved employee will have the opportunity to call any witnesses if appropriate.
4. The person/panel hearing the grievance will have the opportunity to question the aggrieved employee or his/her representative in order to progress the case.
5. If appropriate, the hearing should be adjourned whilst an investigation is carried out.
6. When the investigation is complete the meeting should reconvene and the investigating officer (who may be the Chair) should present their findings.
7. The employee will be given the opportunity to question the investigating officer.
8. The person/panel may adjourn the grievance meeting to give proper consideration to all the evidence before making a decision.
9. The person/panel hearing the grievance should ensure that the hearing has been conducted fairly and record any objections made.
10. All those present apart from the person/panel hearing the meeting and Human Resources will withdraw whilst the panel reaches its decision.

## **Appendix 6 - Guidelines for Investigating Officers**

A grievance investigation normally takes place after the employee has explained his/her grievance at a grievance hearing. The hearing is normally adjourned so that the investigation can take place. Once the investigation has taken place the hearing will reconvene so that the findings can be considered.

The investigation may be carried out by the person chairing the hearing, or they may nominate an investigating officer to carry out this role on their behalf.

### **Role of the investigating officer**

As investigating officer you are responsible for:

- Gathering all the relevant facts promptly
- Establishing the exact nature of the grievance and finding evidence to substantiate or refute the case
- Summarising findings in an investigation report
- Presenting evidence when the grievance hearing is reconvened after the investigation and answering questions as required.
- Attending appeal hearings as a witness when required

### **Conducting an investigation**

**When carrying out an investigation, the investigating officer should:**

- Ensure the investigation is carried out as quickly as possible
- Be thorough and fair
- Remain impartial and objective
- Consider whether there are any mitigating circumstances
- Maintain confidentiality as appropriate
- Seek supporting evidence, including both that which supports the grievance, and any that refutes the grievance
- Talk to relevant witnesses as appropriate to establish the full facts
- Keep notes of investigation meetings
- Compare statements and notes and attempt to resolve any discrepancies
- Where the findings of the investigation support the grievance, consider possible resolution, including that suggested by the employee

### **Preparation**

- Familiarise yourself with the employee's grievance. Fully consider the points that they have raised and any evidence that they have put forward.
- Plan your investigation before you begin – consider what information you need to gather and how best to gather this. This will depend upon the nature of the grievance. E.g. where the grievance is about work location or equipment you may decide to visit the site or where the grievance involves the employee's manager you may want to speak to the manager.

- Before meeting the employee and other witnesses, plan the questions you wish to ask. Think about the grievance that has been raised and the facts you need to gather
- **Questions may include:**
  - Why was the decision made?
  - What other options were available?
  - Are there any records that substantiate or refute the grievance?
- Plan where the investigation meetings are going to be held – this needs to be in a private place that is free from interruptions
- Take notes of the key points raised at the meetings. A copy of the notes should be given to the relevant employee/witness following the meeting and they should be asked whether there is anything they wish to add to them.
- Where the employee/witness provides alternative notes following the meeting both versions should be included in the evidence supporting the investigation report.
  
- Throughout the investigation a member of Human Resources will be available to provide advice and support. E.g. on the preparation of questions
  
- Meet the employee raising the grievance (only for investigating officers who are not hearing the grievance)
  
- Carefully read the employee's written grievance before meeting him/her
  
- Give the employee notice of the meeting so they can prepare. Remind the employee of their right to be accompanied by a trade union representative or work colleague
  
- Ask the employee to explain their grievance and how they would like it to be resolved
  
- Use open questions to gain information. Clarify issues that arise and check your understanding of what has been said
  
- Where appropriate ask the employee to provide evidence to support his/her grievance and ask if there is anything they would like to include
  
- Meet the witnesses. If you may decide that it is not necessary to interview every witness, in this instance a written statement from the witness that is signed and dated will suffice. Where you decide to meet with the witness, the following will apply:
  - You may wish to ask the witnesses to write a written statement prior to meeting them. Where appropriate you would ask them to respond in their written statement to certain questions.
  - Where a witness provides a written statement you need to ensure you are happy that you have obtained all the information that you require from them, and that there are no un-answered questions. If you are not satisfied, then you can re-interview the witness.
  - Discuss with HR if a witness is unwilling to get involved.

- Use open questions to gain information, clarify issues and check your understanding of what has been said.
- Do not lead the witness but do encourage them to concentrate on the main facts.
- Advise witnesses that their statements may be made available to the employee and management side. Witnesses also need to be made aware that they may be called to give evidence at a hearing.

### **Witness statements**

#### **Witness statements may include the following:**

- The name and job title of the person giving the statement
- Summary of the grievance being investigated
- The reason for the witness being able to comment on the issues
- Facts that can be provided by the person
- Sketch or plan if appropriate
- Date, time and place the statement was taken
- The signature of the witness

### **Gather other evidence**

- Ask/seek supporting evidence to substantiate information provided by the employee/witnesses. Keep copies to use as supporting documentation.
- You may need to look at documents such as work rotas, attendance records, appraisal documents, emails, letters, training records, development plans etc. You will need to take copies of the documents for supporting documentation.
- You may wish to compare records for different employees', for example where the employee's grievance is that he/she believed he/she has been treated less favourably than other employees.
- You may wish to carry out site visits.

### **Preparing the investigation report**

Once the investigation is complete, you will need to write a report. Human Resources can provide advice.

The report will be providing the main source of reporting the findings to the aggrieved employee at the reconvened grievance hearing. The report should be clear, concise and presented in a logical format, it should:

- Outline the grievance
- Provide full supporting evidence, including all the facts so that it would make sense to someone unfamiliar with the case
- Where the findings of the investigation substantiate the employee's grievance you should list available options for resolution.

## **Appendix 7 - Suggested format of Grievance Investigation Report**

### **Introduction**

- Background information relating to the employee
- Name and job title of the employee
- Hours of work

### **Background information about the workplace, such as:**

- Environment
- Staffing levels
- Workload and shift pattern

### **The Grievance**

Specify the grievance and how the employee would like to see it resolved. Refer to the employee's written grievance (attach a copy of the employee's grievance as an Appendix).

### **Investigation**

- Who conducted the investigation (your name, and job title)
- How the grievance was brought to your attention
- How you carried out the investigation
- Refer to the sources of information that you accessed
- Refer to any witness statement, and clarify why these are relevant

### **Statement of Case**

From the information that you have gathered, state the grievance and state whether your investigation substantiates or refutes this, cross referencing to documents, statements etc. within the appendices as appropriate:

- Highlight the salient points of the statements
- Explain the correct procedures
- Refer to any additional supporting evidence

### **Possible Solutions**

Where your investigation has substantiated the employee's grievance state the employee's preferred resolution. Specify any other realistic solutions, listing pros and cons for each.